



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-1185)

PATENT

2661  
03/21/03

In the Application-of:

Michael Freed et al.

Before the Examiner: TBA

Serial No. 09/941,280

Group Art Unit: 2661

Filed: August 27, 2001

For: System and Method for Traffic  
Shaping Based on Generalized  
Congestion and Flow Control

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MAR 17 2003

Technology Center 2600

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above-identified application,

1. We are transmitting herewith the attached:

- a) Second Supplemental Information Disclosure Statement Letter;
- b) Second Supplemental Information Disclosure Statement Form PTO-1449;
- c) 15 Cited References; and
- d) Postcard.

2. With respect to fees:

- a) No fee is required.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on March 11, 2003.

By:

Bradley J. Hulbert  
Reg. No. 30,130



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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative

importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

### **CITED REFERENCES**

#### **U.S. Patent Documents**

<b>Document Number</b>	<b>Date</b>	<b>Name</b>
6,510,162	01-21-03	Fijolek et al.
5,929,850	07-27-99	Broadwin et al.
5,528,595	06-18-96	Walsh et al.
5,960,177	09-28-99	Tanno
5,941,988	08-24-99	Bhagwat et al.
6,289,377	09-11-01	Lalwaney et al.

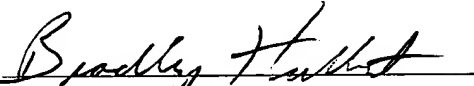
#### **Other Documents**

1. Droms, R., *Dynamic Host Configuration Protocol*, Request for Comments 1541, October 1993, Pages 1 to 31.
2. RFC 791, *Internet Protocol, DARPA Internet Program Protocol Specification*, September 1981, Pgs. 1-37.
3. Postel, J., *Internet Protocol, DARPA Internet Program Protocol Specification*, RFC 792, September 1981, Pgs. 1-14.
4. Postel, J., *User Datagram Protocol*, RFC 768, August 28, 1980, Pgs. 1-3.
5. RFC 793, *Transmission Control Protocol, DARPA Internet Program Protocol Specification*, September 1981, Pgs. 1-68.
6. Case, J. et al., *A Simple Network Management Protocol (SNMP)*, RFC 1157, May 1990, Pgs. 1-26.
7. Sollins, K., *The TFTP Protocol (Revision 2)*, RFC 1350, July 1992, Pgs. 1-9.
8. Alexander, S., *DHCP Options and BOOTP Vendor Extensions*, RFC 2132, March 1997, Pgs. 1-37.

9. "Radio Frequency Interface Specification (Interim Specification) SP-RFiv1.1-103-991105",  
MCNS Holdings, L.P., 1999, Pgs. Ii to 366.

Respectfully submitted,

Date: March 11, 2003

By:   
Bradley J. Hulbert  
Registration No. 30,130

FORM PTO-1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

00-1185

Serial No.

09/941,280

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

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MAR 17 2003

Technology Center 2600

Applicant:

Michael Freed et al.

Filing Date:

August 27, 2001

Group:

2661

U.S. PATENT

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	6,510,162	01-21-03	Fijolek et al.	370	432	05-27-98
	2.	5,929,850	07-27-99	Broadwin et al.	345	327	07-01-96
	3.	5,528,595	06-18-96	Walsh et al.	370	85.13	11-14-95
	4.	5,960,177	09-28-99	Tanno	395	200.59	03-12-96
	5.	5,941,988	08-24-99	Bhagwat et al.	713	201	01-27-97
	6.	6,289,377	09-11-01	Lalwaney et al.	709	222	10-27-98

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

	7.	Droms, R., <i>Dynamic Host Configuration Protocol</i> , Request for Comments 1541, October 1993, Pages 1 to 31.
	8.	RFC 791, <i>Internet Protocol</i> , <i>DARPA Internet Program Protocol Specification</i> , September 1981, Pgs. 1-37.
	9.	Postel, J., <i>Internet Protocol</i> , <i>DARPA Internet Program Protocol Specification</i> , RFC 792, September 1981, Pgs. 1-14.
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	11.	RFC 793, <i>Transmission Control Protocol</i> , <i>DARPA Internet Program Protocol Specification</i> , September 1981, Pgs. 1-68.
	12.	Case, J. et al., <i>A Simple Network Management Protocol (SNMP)</i> , RFC 1157, May 1990, Pgs. 1-26.
	13.	Sollins, K., <i>The TFTP Protocol (Revision 2)</i> , RFC 1350, July 1992, Pgs. 1-9.
	14.	Alexander, S., <i>DHCP Options and BOOTP Vendor Extensions</i> , RFC 2132, March 1997, Pgs. 1-37.
	15.	“Radio Frequency Interface Specification (Interim Specification) SP-RFIV1.1-103-991105”, MCNS Holdings, L.P., 1999, Pgs. li to 366.
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.